

General information about company

Scrip code	530907
NSE Symbol	
MSEI Symbol	
ISIN	INE417F01017
Name of the entity	SI CAPITAL & FINANCIAL SERVICES LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Quarterly
Date of Report	31-12-2019
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	SREERAM GOPINATHAN NAIR	AJLPS7528R	05143385	Executive Director	Not Applicable	MD	20-03-1957	NA		31-10-2019			60	1	0	0	0		

2	Mr	THANDASSERY BALACHANDRAN RAMAKRISHNAN	ABMPR2277F	01601072	Non- Executive - Non Independent Director	Chairperson		07- 05- 1963	NA		31-10-2019			1	0	1	0	
3	Ms	MARY RODRIGUES	AAEPR2260H	01778843	Non- Executive - Non Independent Director	Not Applicable		14- 12- 1959	NA		31-01-2007	31-10-2019		1	0	0	0	
4	Mr	ANIL MENON	ACGPA5481M	02390719	Non- Executive - Non Independent Director	Not Applicable		01- 03- 1962	NA		31-10-2019			1	0	1	0	

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Wether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re- appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	RAMANATHAN ANCHERY	AHWPR7796M	02415497	Non- Executive - Non Independent Director	Not Applicable		22- 08- 1948	NA		31-10-2019				1	0	2	0		
6	Mr	SHANMUGAM RAMESH	ADEPR8265M	06665080	Non- Executive - Independent Director	Not Applicable	Employee Director	05- 04- 1965	NA		30-11-2018			60	1	1	2	1		
7	Mr	CHINTAN BHARAT SHAH	BQCPS5654R	08292828	Non- Executive - Independent Director	Not Applicable	Employee Director	25- 12- 1982	NA		30-11-2018			60	1	1	1	1		
8	Mr	SANJAY ARJUNDAS WADHWA	AAAPW2072L	00203083	Non- Executive - Non Independent Director	Not Applicable		06- 12- 1966	NA		30-09-2000		31-10- 2019		0	0	0	0		

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Wether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
9	Ms	SUZANNE VENGLLET	APBPS2060Q	01876633	Non-Executive - Non Independent Director	Not Applicable		14-12-1965	NA		25-09-2017		31-10-2019	0	0	0	0			

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson					Yes				
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks		
1	08292828	CHINTAN BHARAT SHAH	Non-Executive - Independent Director	Chairperson	30-11-2018				
2	02390719	ANIL MENON	Non-Executive - Non Independent Director	Member	31-10-2019				
3	01601072	THANDASSERY BALACHANDRAN RAMAKRISHNAN	Non-Executive - Non Independent Director	Member	31-10-2019				
4	01876633	SUZANNE VENGLLET	Non-Executive - Non Independent Director	Member	25-09-2017	31-10-2019			

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson		Yes		

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06665080	SHANMUGAM RAMESH	Non-Executive - Independent Director	Chairperson	30-11-2018		
2	08292828	CHINTAN BHARAT SHAH	Non-Executive - Independent Director	Member	30-11-2018		
3	01601072	THANDASSERY BALACHANDRAN RAMAKRISHNAN	Non-Executive - Non Independent Director	Member	31-10-2019		
4	00203083	SANJAY ARJUNDAS WADHWA	Non-Executive - Non Independent Director	Member	30-09-2000	31-10-2019	

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06665080	SHANMUGAM RAMESH	Non-Executive - Independent Director	Chairperson	30-11-2018		
2	01601072	THANDASSERY BALACHANDRAN RAMAKRISHNAN	Non-Executive - Non Independent Director	Member	31-10-2019		
3	02415497	RAMANATHAN ANCHERY	Non-Executive - Non Independent Director	Member	31-10-2019		
4	00203083	SANJAY ARJUNDAS WADHWA	Non-Executive - Non Independent Director	Member	30-09-2000	31-10-2019	
5	01876633	SUZANNE VENGLLET	Non-Executive - Non Independent Director	Member	25-09-2017	31-10-2019	

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

1	01778843	MARY RODRIGUES	Non-Executive - Non Independent Director	Chairperson	31-10-2019	
2	01601072	THANDASSERY BALACHANDRAN RAMAKRISHNAN	Non-Executive - Non Independent Director	Member	31-10-2019	
3	01876633	SUZANNE VENGLLET	Non-Executive - Non Independent Director	Chairperson	25-09-2017	31-10-2019
4	00203083	SANJAY ARJUNDAS WADHWA	Non-Executive - Non Independent Director	Member	30-09-2000	31-10-2019

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	06665080	SHANMUGAM RAMESH	INDEPENDENT DIRECTORS' COMMITTEE	Non-Executive - Independent Director	Chairperson	
2	08292828	CHINTAN BHARAT SHAH	INDEPENDENT DIRECTORS' COMMITTEE	Non-Executive - Independent Director	Member	

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/ No)	Number of Directors present*	No. of Independent Directors attending the meeting*

1	18-07-2019			Yes		
2	19-08-2019		31	Yes		
3		21-10-2019	62	Yes	5	2
4		31-10-2019	9	Yes	7	2

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	18-07-2019				Yes		
2	Audit Committee	21-10-2019	94			Yes	3	2
3	Nomination and remuneration committee	18-07-2019				Yes		
4	Nomination and remuneration committee	31-10-2019	104			Yes	3	2
5	Stakeholders Relationship Committee	18-07-2019				Yes		
6	Stakeholders Relationship Committee	21-10-2019	94			Yes	3	1

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
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1	Whether prior approval of audit committee obtained	Yes
2	Whether shareholder approval obtained for material RPT	NA
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

Annexure 1

VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	ANEETTA C VILSON
2	Designation	Company Secretary and Compliance Officer

Signatory Details

Name of signatory	ANEETTA C VILSON
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Designation of person	Company Secretary and Compliance Officer
Place	CHENNAI
Date	14-01-2020